## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



## COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

	•	_	BOX PCT
	COMPLETION For PCT Cases Only		
	PATENT APPLICATION of ntor(s): FRAY et al.	Attn:	Application Division
	In. No.:09	276653	PPD 50360/UST
	Series Code û Serial No. û	M#	Client Ref
	onal Phase Field		
i	Based on PCT GB99 02652		
Title			
	Date: August 14,	2001	
			_
	FILING OF ITEM(S) LATE IN PCT/USA NATIO  Commissioner of Patents  Chington, DC 20231	INAL CASE	<b>!</b>
Sir:			
SII.	The following <u>completes the filing</u> of the subject application under fithe following <u>attached</u> items:	Rule 494(c)/4	495(c). Please accept
1.	Missing Requirements Notice (PCT/DO/EO/905) ⊠ copy attack	ched	not yet received
2.	Signed Declarations (4)	y 🗌 with	spec/claims attached
<b>3</b> .	☐ Translation of the International Application into English including	:	
	Request; b. Abstract		
	pgs. Spec. and Claims; d. Translation v		□ 44"
е	sheets Drawing which are:  informal formal of	size	<b>∐ 11"</b>
4.	☐ Copy of International Search Report (ISR) attached (_ page(s)) a. ☐ plus Annex of family members (_ page(s))		
5.	Information Disclosure Statement including		
	<ul> <li>a.  From PTO-1449 listing documents</li> <li>b.  Copies of document(s) listed on Form PTO-1449</li> <li>c.  A concise explanation of ISR references is given in the ISF</li> </ul>	₹	
6.	Assignment and cover sheet. Please return the recorded assign	ment to the ι	undersigned.
7.	Copy of Power to international application agent.		
8.	_ (No.) Small Entity Statement(s) establishing "small entity" status u	nder Rules 9	<b>3 &amp; 27</b> .
9.	☐ Formal Drawings: _ sheet(s) ☐ informal; ☐ formal of	size: 🔲 A	A4
10	Please immediately start national examination procedures (35 US	SC 371(f))	

11. Attached:										
12. Preliminary Amendment:										
I3. ⊠ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:										
.  14. Calculation of remaining fees due (if any): based on amended claim(s) per above item										
12 (above) or item(s) in PAT-112 (filed previous	☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25									
15. CLAIMS FEES  previously paid paid	d herewith as	follows:								
15A. Small Entity Statement    Herewith   Previo	usly Filed		<u>.</u>							
		Large/Small Entity		Fee Code						
16. Total Effective Claims minus 20 =		x \$18/\$9	+	966/967						
17. Independent Claims minus 3 =		x \$80/\$40	+	964/965						
18. If <u>any proper</u> multiple dependent claim (ignore improper) i	s present,	\$270/\$135	+0	968/969						
19. Filing Declaration late, fee paid 🔲 previously 🔯 now		\$130/\$65	+130	154/254						
20. 21. <b>Original due date:</b> April 14, 2001		SUBTOTAL	\$							
22. <b>Petition is hereby made</b> to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached (1 mo) \$110/\$55 = +1390 115/215 (2mos) \$390/\$195 = 116/216 (3mos) \$890/\$445 = 117/217 (4mos) \$1390/\$695 = 118/218										
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fe	\$130	+0	156							
24. If "assignment" box 6 is X'd, add recording fee		\$40	+0	581						
25. <b>TOTAL FEE ENCLOSED = \$1520</b>										
(Our Deposit Account No. 03-3975) (Our Order No. 070596 0276653  C# M#   CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.  This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.										
Pillsbury Winthrop LLP Intellectual Property Group										
1600 Tysons Boulevard By Atty: Paul N. Koku	ılis	Reg	j. No. <u>1677</u>	3						
McLean, VA 22102 Tel: (703) 905-2000 PNK/GRT/jlc  NOTE: File in duplicate with PTO received.	Janjun	43180 Fax	: (703) : (703)	905-2500 905-2108						

PAT-112-5 11/99

## in the united states patent and trademark office

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION

18	UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)							
·	" <b>2001</b> A		•			ſ	BOX PCT	
AUR		COM	PLETION		1	L	BOXICI	
PATE	VY & TRACEM		Cases Only					
In re	PATENT APPLICATION of				Attı	n: Applic	cation Division	
	ntor(s): FRAY et al. In. No.: 09	2,769	Atty. Dkt.	Р	276653	PPI	D 50360/UST	
	Series Code û Serial No. û	)	-		M#		Client Ref	
	onal Phase Field Based on <b>PCT </b> GB99 0265							
Title	û Country Code & Year : EXPRESSION OF BACTERI		ECULES IN					
	PLANTS							
			Date: Augu	ust 14,	2001			
						_		
Ass	FILING OF III  The commissioner of Patents	EM(S) LATE IN	IPCI/USA N	IATIO	NAL CAS	<u>5E</u>		
	shington, DC 20231					•		
Sir:								
	The following <u>completes the fil</u> the following <u>attached</u> items:	ling of the subjec	t application u	ınder F	Rule 494(c	)/495(c).	Please accept	
1.	Missing Requirements Notice (P	PCT/DO/EO/905)	⊠ сор	y attac	hed [	☐ not yet	t received	
2.	⊠ Signed Declarations (4)	○ Original	☐ Facsimil	le/Copy	y 🔲 wit	th spec/c	laims attached	
3.	☐ Translation of the Internation	nal Application ir	nto English inc	luding:				
a. [	] Request;		b. 🔲 Abstra	ct				
	pgs. Spec. and Claims;	oformal			erification size	4   11	n .	
e	sheets Drawing which are:  in					· ا ل		
4.	Copy of International Searc	h Report (ISR) a Annex of family						
5.	Information Disclosure State	ement including						
	a. 🔲 From PTO-1449 listin	g documents	,					
	b. Copies of document(s			ha ISE	<b>,</b>			
	c. A concise explanation		-					
6.	Assignment and cover shee	t. <u>Please return</u>	the recorded a	assign	<u>nent</u> to the	• undersi	gned.	
<b>7</b> .	☐ Copy of Power to internation	al application ag	ent.					
8.	_ (No.) Small Entity Statement(	s) establishing "s	small entity" st	atus ur	nder Rules	9 & 27.		
9.	☐ Formal Drawings: _ sheet(s)	informa	ıl; 🔲 forn	nal of s	size:	] A4	<u> </u>	
10		onal examination	n procedures (	(35 US	C 371(f))			

11.	Attached:							*		
12.	12.  Preliminary Amendment:									
13.										
14.										
• • • •		•	•				_	1.05		
	☐ 12 (above) or item(s	s) in PAT-112	(filed previou	sly)	2	∐ 17		] 25		
15.	CLAIMS FEES	previously pai	id 🗌 pai	d herewith as	follows:					
15A.	Small Entity Statemer	t 🔲 Herewi	ith 🔲 Previo	usly Filed		_	_			
					Large/Small Entity			Fee Code		
16. T	otal Effective Claims		minus 20 =		x \$18/\$9	+		966/967		
	ndependent Claims		minus 3 =		x \$80/\$40	+		964/965		
18. If	f <u>any proper</u> multiple deper	ident claim (igr	ore improper) i	s present,	\$270/\$135	+0	968/969			
19. F	iling Declaration late, fee p	aid 🔲 previοι	usly 🗵 now		\$130/\$65	+130		154/254		
20.					SUBTOTAL	.   \$				
	Original due date: April							445/045		
22. F	Petition is hereby made to	extend the <u>or</u> i	<u>i<b>ginal</b></u> due date	to (1 mo)	\$110/\$55 =	+1390	)	115/215 116/216		
	r the date this response is	filed for which t	the requisite tee	e (2mos) (3mos)	\$390/\$195 = \$890/\$445 =			117/217		
is att	ached			(4mos)	\$1390/\$695 =			118/218		
22 1	f "non-English" box 3 is X'd	add Rule 17(l	() processing fe		\$130	+0		156		
	f "assignment" box 6 is X'd				\$40	+0				
	assignment box one A c	add recording	100		ENCLOSED =	\$1520				
25.				IOIALFE	ENGLOSED -	\$1020				
(Our Deposit Account No. 03-3975) (Our Order No. 070596 0276653  C# M#										
CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.  This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.										
Pillsbury Winthrop LLP Intellectual Property Group										
1600	Tysons Boulevard	By Atty:	Paul N. Kok	ulis	Re	eg. No.	16773			
Tel: (	ean, VA 22102 703) 905-2000	Sig:	Sun,	tegum a	13 (80 Fa	ıx: el:		05-2500 05-2108		
PNK/GRT/jlc NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments										
08/16/2001 UEDUVIJE 00000089 09762769										
01 FC:154		00 OP								
02 FC:118		00 DP								